

Ab Initio Study of the Two Iso-electronic Molecules  $\text{NpO}_4^-$  and  $\text{UO}_4^{2-}$ Hélène Bolvin,<sup>\*,†</sup> Ulf Wahlgren,<sup>‡</sup> Odd Gropen,<sup>†,||</sup> and Colin Marsden<sup>§</sup>*Institutt for kjemi, Universitetet i Tromsø, 9037 Tromsø, Norway, Fysikum, University of Stockholm, P.O. Box 6730, 11385 Stockholm, Sweden, and IRSAMC, Université Paul Sabatier, 31062 Toulouse Cedex 04, France**Received: April 2, 2001; In Final Form: July 17, 2001*

The two iso-electronic molecules  $\text{NpO}_4^-$  and  $\text{UO}_4^{2-}$  have been investigated by means of different ab initio methods: Hartree–Fock, Møller–Plesset perturbation theory at the second order, complete active space perturbation theory at the second order (CASPT2), coupled pair functional, coupled cluster single double with perturbative contributions from triple excitations, and density functional theory with hybrid functionals. Relativistic effective core potentials have been used in all calculations.  $\text{NpO}_4^-$  is a square planar molecule, whereas  $\text{UO}_4^{2-}$  has a tetrahedral structure. The 5f orbitals, and, in particular, their lower energy in the neptunium compound that make them more available to form covalent bonds, play a crucial role in explaining the different structures of the two compounds.

## 1. Introduction

Applied relativistic quantum chemistry has made significant progress during the past decade.<sup>1–3</sup> The description of relativity is possible with high accuracy by using four-component methods, but these are still limited to small molecules. When one describes the valence properties in closed-shell molecules, the main relativistic effects are the scalar ones that can be well described by relativistic effective core potentials (RECPs). Thus, the usual methods of quantum chemistry can be applied with the relativistic density: Hartree–Fock (HF), complete active space self-consistent field (CASSCF), coupled cluster single double with perturbative contributions from triple excitations [CCSD(T)], density functional theory (DFT), with the usual gradient techniques to optimize the geometries. This approximation has given good results for geometry optimization, when compared with fully relativistic four-component methods at the same level of correlation.<sup>4</sup>

A lot of work has been done on the actinyl ( $\text{AnO}_2$ )<sup>n+</sup> series.<sup>4–7</sup> The molecule  $\text{ThO}_2$  is bent, whereas the subsequent molecules in the series are linear. Recently, the bonding of the uranyl ion has been studied in alkaline solution<sup>8,9</sup> with some ligands forming  $\text{UO}_2\text{L}_2^{2+}$  complexes, with water<sup>10</sup> and  $\text{H}_2\text{S}$ ,<sup>11</sup> and its hydrolysis.<sup>12</sup> In all these studies, the uranyl keeps its own entity as a strongly bonded ion and forms weaker bonds with the other ligands.

In this article, we investigate the possibility that the uranium and the neptunium atoms form four oxo bonds by studying the two iso-electronic molecules,  $\text{NpO}_4^-$  and  $\text{UO}_4^{2-}$ . In these compounds, Np and U atoms are formally in oxidation states VII and VI, respectively, i.e., in the  $[\text{Rn}]5f^06d^07s^0$  electronic configuration. The latter is highly negatively charged, but may be stabilized in solutions. Pyykkö and Zhao have shown that the calculation for geometries of anions in gas phase is meaningful.<sup>13</sup> The square planar molecules  $\text{UO}_4^{2-}$  and  $\text{NpO}_4^{2-}$  have been studied at the HF level by Pyykkö et al.<sup>14–16</sup>

These ions are known in the solid state as part of the deformed  $\text{AnO}_6$  octahedron in various oxides of uranium and of neptunium. Many structures of hexavalent uranium oxides are known; in such crystals, there is a large variety of U–O distances. The  $\text{UO}_6$  unit can be either of the uranyl type (two short and four long distances), as in  $\text{Li}_2\text{UO}_4$  with distances of 1.89 Å (2 ×) and 1.98 Å (4 ×); or in  $\text{Na}_2\text{UO}_4$  with distances of 1.93 Å (2 ×) and 2.08 Å (4 ×); or of an ‘antiuranyl’ type with four short and two long U–O distances, as for example in  $\text{Li}_4\text{UO}_5$  with distances of 2.00 Å (4 ×) and 2.22 Å (2 ×).<sup>17</sup> On the other hand, there are very few experimental data concerning oxides of heptavalent neptunium. Crystals of  $\text{Na}_3\text{NpO}_4(\text{OH})_2 \cdot n\text{H}_2\text{O}$ <sup>18</sup> and  $\text{Co}(\text{NH}_3)_6\text{NpO}_4(\text{OH})_2 \cdot 2\text{H}_2\text{O}$ <sup>19</sup> display a tetragonal bipyramidal  $\text{NpO}_4(\text{OH})_2^{3-}$  central core. Other crystals like  $\text{LiCo}(\text{NH}_3)_6\text{Np}_2\text{O}_8(\text{OH})_2 \cdot 2\text{H}_2\text{O}$ <sup>20</sup> or  $\text{MNpO}_4$  (M = Cs, K) contain a  $\text{NpO}_2^{3+}$  unit. This high oxidation state of neptunium exists only in alkaline solution; the presence of the  $\text{NpO}_4^-$  unit versus the  $\text{NpO}_2^{3+}$  one has been subject to controversy,<sup>21,22</sup> but we have argued in favor of the former thanks to a new extended X-ray absorption fine structure experiment and theoretical calculations in a recent study.<sup>23</sup> The  $\text{UO}_4^{2-}$  unit has never been observed in solution.

We will limit the study to the  $\text{NpO}_4^-$  and  $\text{UO}_4^{2-}$  ions alone, even if these molecules exist only coordinated with hydroxide ions, at least for the neptunate compound. The aim of this work is, on one hand, an analysis of these two iso-electronic molecules that, as we will see, are not iso-structural and, on the other hand, a comparison of correlated methods as a starting point for further chemical studies, as for example the study of the  $\text{NpO}_4^-$  ion in hydroxide solutions.<sup>23</sup> The bonding in uranyl and neptunyl involves the 5f orbitals of the actinide as well as the 7s, 6p, and 6d orbitals. This study will show how these orbitals are involved in these molecules with four oxo bonds, and the comparison between the two iso-electronic molecules will confirm that the 5f orbitals of the actinide atoms accept more electrons in the neptunium compound and give more covalent bonds.

After presenting some computational details in Section 2, this article studies extensively the two already-mentioned ions:  $\text{NpO}_4^-$  and  $\text{UO}_4^{2-}$ . The bond is analyzed at the HF level in Section 3.2; it is shown that the neptunate ion is square planar, whereas the uranate one is tetrahedral because of the role of 5f orbitals. In Section 3.3, correlated calculations are presented:

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**TABLE 1: Results of the HF Calculations for  $\text{UO}_2^{2+}$ ,  $\text{NpO}_2^{2+}$ , and  $\text{NpO}_2^{3+}$  Ions; Equilibrium Distances, Frequency of the Totally Symmetrical Mode and Mulliken Charges for Atoms and Orbitals of the Actinide Atom**

	formal oxidation state	$R_e$ (Å)	frequency ( $\text{cm}^{-1}$ )	atomic charges		An orbital occupation			
				$Q_{\text{An}}$	$Q_{\text{O}}$	s	p	d	f
$\text{UO}_2^{2+}$	VI	1.65	1300	3.08	-0.54	4.02	11.60	11.09	2.21
$\text{NpO}_2^{2+} \ ^2\Phi_u$	VI	1.64	1314	3.04	-0.52	4.02	11.60	11.04	3.30
$\ ^2\Delta_u$	VI	1.63	1290	3.02	-0.51	4.01	11.56	11.08	3.32
$\text{NpO}_2^{3+}$	VII	1.60	1441	3.66	-0.33	3.99	11.45	11.09	2.81

bond lengths calculated at the HF, Møller–Plesset perturbation theory at the second-order (MP2), CASSCF, complete active space perturbation theory at the second order (CASPT2), DFT, configuration interaction single double (CISD), coupled pair functional (CPF), and CCSD(T) levels will be compared for the constrained square planar and tetrahedral symmetries.

## 2. Computational Details

All the calculations have been performed with the energy-consistent small-core RECPs and their corresponding optimized basis sets of the group of Stuttgart.<sup>24</sup> For the uranium and neptunium atoms, the 1s–4s, 2p–4p, 3d–4d, and 4f atomic orbitals are in the core leaving 32 and 33 electrons, respectively, explicitly described by 12s11p10d8f basis contracted to 8s7p6d4f. No g-function has been added, because it does not play a major role on the geometry optimizations in such molecules.<sup>25</sup> For the oxygen atoms, the 1s orbital is in the core, and the 4s5p2d primitives are contracted to 2s3p2d.

Geometries of the species have been optimized at the HF (RASSCF) level with gradient techniques using the MOLCAS-4 program system.<sup>26</sup> As suggested by Hess, standard gradient programs are used with relativistic densities. Spin-free correlated calculations were done using MP2, CASPT2,<sup>27</sup> CISD, CPF,<sup>28</sup> CCSD(T)<sup>29</sup> levels of theory. The geometries were manually refined at the CI level. All DFT calculations were performed within the Gaussian 98 program.<sup>30</sup> Two hybrid functionals were used: B3LYP<sup>31–33</sup> and B3PW91.<sup>34</sup>

## 3. Results and Discussion

**3.1. Preliminary Results: Uranyl and Neptunyl.** Let us first compare properties of the two iso-electronic ions uranyl 2+ and neptunyl 3+. The results presented in Table 1 are at the HF level, because the goal is not to obtain the best description of the molecule, but only to achieve a comparison between  $\text{UO}_2^{2+}$  and  $\text{NpO}_2^{3+}$ , which are iso-electronic. Results concerning  $\text{NpO}_2^{2+}$  have been added; this ion is in formal oxidation VI, and the two states  $\ ^2\Phi_u$  and  $\ ^2\Delta_u$  are degenerate within 2  $\text{kJ}\cdot\text{mol}^{-1}$  and display very similar characteristics, the former being the ground state. We have not been able to find experimental information on neptunyl 3+ in gas phase. These results show that  $\text{UO}_2^{2+}$  has properties much closer to  $\text{NpO}_2^{2+}$  than to  $\text{NpO}_2^{3+}$  concerning equilibrium distance, vibrational frequency, and Mulliken charges. The only significant difference is the population of the f orbital; the two molecules seem to be very similar, and the extra electron of  $\text{NpO}_2^{2+}$  goes in an inactive f orbital, screening the larger charge of the Np nucleus, thus giving rise to the same behavior of the valence electrons. On the other hand,  $\text{NpO}_2^{3+}$  is a more bonding molecule than  $\text{UO}_2^{2+}$  with a much larger involvement of the f electrons in the bond (+0.6 electrons).

These first results show already that iso-electronicity is not a very helpful concept in the study of molecules containing actinide atoms.

### 3.2. Hartree–Fock Results and the Nature of the Bond.

**3.2.1.  $\text{NpO}_4^-$  and  $\text{UO}_4^{2-}$ .** At the HF level,  $\text{NpO}_4^-$  is close to a

**TABLE 2: Irreps of the Orbitals in the  $T_d$  Symmetry**

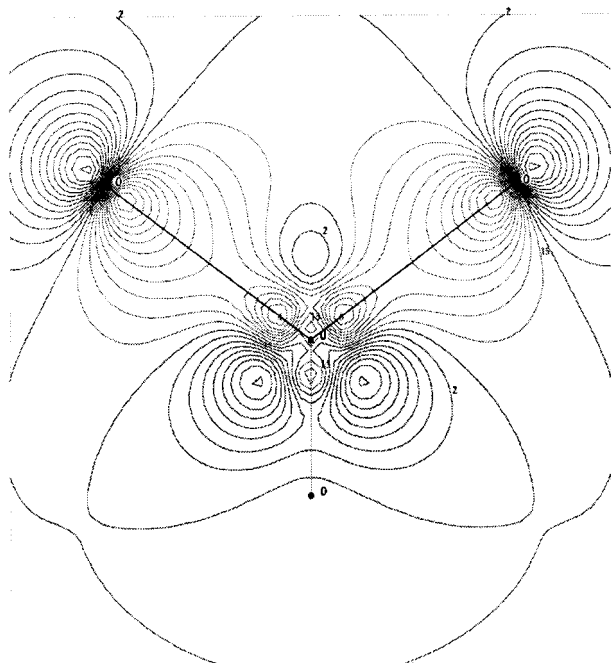
metal	ligands
s $\rightarrow A_1$	$p_\sigma \rightarrow A_1 \oplus T_2$
p $\rightarrow T_2$	$p_\pi \rightarrow E \oplus T_1 \oplus T_2$
d $\rightarrow E \oplus T_2$	
f $\rightarrow A_1 \oplus T_1 \oplus T_2$	

**TABLE 3: Irreps of the Orbitals in the  $D_{4h}$  Symmetry**

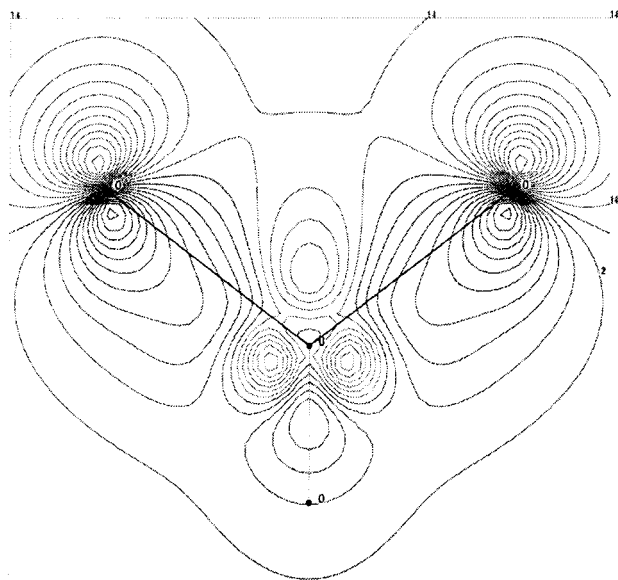
metal	ligands
s $\rightarrow A_{1g}$	$p_\sigma \rightarrow A_{1g} \oplus B_{2g} \oplus E_u$
p $\rightarrow A_{2u} \oplus E_u$	$p_\pi \rightarrow A_{2g} \oplus B_{1g} \oplus E_u$
d $\rightarrow B_{1g} \oplus B_{2g} \oplus A_{1g} \oplus E_g$	$p_{\pi o} \rightarrow A_{2u} \oplus B_{1u} \oplus E_g$
f $\rightarrow A_{1u} \oplus A_{2u} \oplus B_{2u} \oplus 2E_u$	

square planar molecule, whereas  $\text{UO}_4^{2-}$  has a tetrahedral structure. In the neptunate ion, there is a small dihedral angle of  $2^\circ$  between the planes formed by the oxygens 1–2–3 and 2–3–4. All further discussion will assume that the point group of  $\text{NpO}_4^-$  is  $D_{4h}$ . The tetrahedral conformation is the one in which the ligands avoid each other the most, whereas in the square planar one, there must be some stabilizing interaction that compensates the greater repulsion between the ligands. The irreps of the different orbitals are summarized in Tables 2 and 3 for  $T_d$  and  $D_{4h}$  symmetries, respectively. In  $T_d$  symmetry, the p orbitals of the ligands split into two subgroups; the  $p_\sigma$  pointing toward the actinide center and the  $p_\pi$  perpendicular to the An–O bonds. As will be discussed later, the orbitals contributing most to the bond are the ones involving the f orbitals of the actinide and the  $p_\sigma$  orbitals of the ligands, namely the orbitals of irreps  $A_1$  and  $T_2$ . These orbitals are plotted in Figures 1 and 2, respectively. The  $A_1$  orbital is a pure mixture of a 5f orbital of the actinide with  $p_\sigma$  orbitals of the oxygens. The  $T_2$  orbitals are lower in energy and have contribution of 6p, 6d, and 5f orbitals of the actinide, and the  $p_\sigma$  of the oxygens mix slightly with the  $p_\pi$  orbitals which allows an increase of the overlap. In the  $D_{4h}$  point group, the p orbitals of the four oxygen atoms split into three groups: the  $p_\sigma$  ones pointing toward the actinide; the  $p_\pi$  group, perpendicular to the An–O bonds and lying in the plane of the molecule; and finally, the  $p_{\pi o}$  group, perpendicular to the plane of the molecule. Most bonding orbitals belong to the  $E_u$  irrep and involve the f,  $p_\sigma$ , and  $p_\pi$  orbitals. One of them is plotted Figure 3; it involves two  $p_\sigma$  orbitals on the top and bottom oxygen atoms and two  $p_\pi$  orbitals on the right and left atoms.

Results are summarized in Table 4. Results for the saddle points square planar  $\text{UO}_4^{2-}$  and tetrahedral  $\text{NpO}_4^-$  have been added. The highest occupied molecular orbital and lowest unoccupied molecular orbital energies are -0.02 and 0.13 au, respectively (-0.23 and 0.07 au) for tetrahedral  $\text{UO}_4^{2-}$  (square planar  $\text{NpO}_4^-$ ). Although Mulliken charges do not have any absolute significance, they can be used in a comparative manner, as long as the same basis set is used. To quantify the covalency of the bond, the Mulliken charges of the oxygens can be taken as a criterion; a fully ionic bond would give a charge of -2 on the oxygens and the population of the actinides' s, p, d, and f orbitals would be 4, 12, 10, and 0, respectively, taking into

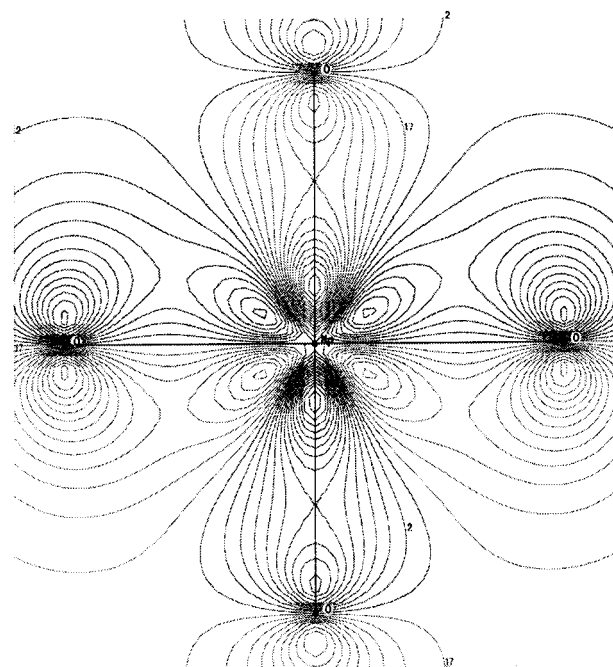


**Figure 1.** Molecular orbital of irrep  $A_1$  of the tetrahedral  $\text{UO}_4^{2-}$  ion, drawn with MOLDEN.<sup>39</sup> The orbital is plotted in the plane of the uranium and two oxygens and represented by iso-value contours.



**Figure 2.** Molecular orbital of irrep  $T_2$  of the tetrahedral  $\text{UO}_4^{2-}$  ion, drawn with MOLDEN.<sup>39</sup> The orbital is plotted in the plane of the uranium and two oxygens and represented the iso-value contours.

account the electrons described by the RECPs. Thus defined, the covalency increases when going down the table: the bond distance decreases and the net negative charge on the oxygen decreases from  $-1.22$  in tetrahedral  $\text{UO}_4^{2-}$  to  $-0.90$  in square planar  $\text{NpO}_4^-$ . The  $s$ -population is almost the same in the four systems, whereas the deficit in the  $p$ -population increases with the covalency of the bond. For example, it is  $0.45$  and  $0.23$  for the square planar  $\text{NpO}_4^-$  and  $\text{UO}_4^{2-}$ , respectively. This shows that there is a  $6p$  hole as in the actinyl series (see for example ref 35 and references therein) and that the  $6p$  electrons are more active in  $\text{Np(VII)}$  than in  $\text{U(VI)}$ . The  $d$ -population depends more



**Figure 3.** Molecular orbital of irreps  $E_u$  of the square planar  $\text{NpO}_4^-$  ion, drawn with MOLDEN.<sup>39</sup> The orbital is plotted in the plane of the molecule and represented by iso-value contours.

on the group symmetry than on the covalency; the  $6d$  electrons participate more in the bond in the tetrahedral conformers than in the square planar ones, but in any case, this contribution is large. The  $5f$ -population increases strongly with the covalency, from  $1.46$  to  $3.27$ . Comparing the square planar molecules, there is a difference of  $0.3$  electron for the  $f$  orbitals belonging to the  $E_u$  irrep between the neptunate and the uranate in favor of the former. Comparing both the two tetrahedral molecules and the two square planar ones, the difference in the  $f$ -population is one in favor of the neptunate compounds.

However, it is not so obvious to decide whether the  $5f$  orbitals contribute more or less than the  $6d$  orbitals to the bond. When looking at the Mulliken charges or at the contribution of the atomic orbitals in the molecular ones, or finally at the plot of the orbitals, the  $d$  contribution to the bond seems to be as important as the  $f$  one. To discriminate between the two contributions, we have performed the following experiment. First, the geometry of the neptunate ion has been optimized without any  $f$  orbital in the basis set (remember that the  $4f$  closed shell is described by the RECP): the basis set for neptunium was then  $(12s, 11p, 10d)$  contracted to  $[8s, 7p, 6d]$ . A tetrahedral molecule was obtained with a bond length of  $1.94 \text{ \AA}$ . Then a similar procedure was adopted when deleting the atomic functions describing the  $6$  and higher  $d$  orbitals: the basis set was then  $(12s, 11p, 1d, 8f)$  contracted to  $[8s, 7p, 1d, 4f]$ . The energy of the occupied and almost pure  $5d$  orbitals was shifted by only  $0.04 \text{ au}$  in a given geometry, which shows that only the  $6$  and higher  $d$  orbitals have been affected. The final geometry is square planar, with a bond length of  $1.80 \text{ \AA}$ , which is slightly longer than with the complete basis set. In Table 5, Mulliken charges have been reported for the three basis sets in the same geometry (namely the geometry optimized with the full basis set). It is clear that both the  $5f$  and the  $6d$  orbitals contribute substantially to the covalency of the bond, but the effect of the  $5f$  is much more dramatic: the effect of removing the  $5f$  from the basis set raises the energy much more (about  $3300 \text{ kJ/mol}$  compared with  $800$ ) in a given geometry, and the optimized geometry is tetrahedral, which means that the covalency is no

**TABLE 4: Results of the HF Calculations for  $\text{UO}_4^{2-}$  and  $\text{NpO}_4^-$  Ions in the Square Planar and Tetrahedral Conformations; Equilibrium Distances, Mulliken Charges for Atoms and Orbitals of the Actinide Atom, Difference of Energy between the Two Conformations**

	symmetry	$R_c$ (Å)	atomic charges		An orbital occupation				$E_{T_d} - E_{D_{4h}}$ (kJ·mol <sup>-1</sup> )
			$Q_{\text{An}}$	$Q_{\text{O}}$	s	p	d	f	
$\text{UO}_4^{2-}$	$T_d$	1.942	2.86	-1.22	4.09	11.84	11.75	1.46	-85.3
	$D_{4h}$	1.904	2.50	-1.12	4.07	11.77	11.47	2.20	
$\text{NpO}_4^-$	$T_d$	1.845	2.93	-0.98	4.08	11.76	11.81	2.42	+291.5
	$D_{4h}$	1.786	2.60	-0.90	4.08	11.55	11.50	3.27	

**TABLE 5: HF Results for the  $\text{NpO}_4^-$  Ion with Three Different Basis Sets, in the  $D_{4h}$  Symmetry Group, with  $\text{Np}-\text{O}_{\text{oxo}} = 1.786$  Å**

	$\Delta E$ (kJ·mol <sup>-1</sup> )	atomic charges		An orbital occupation			
		$Q_{\text{An}}$	$Q_{\text{O}}$	s	p	d	f
total basis	0	2.60	-0.90	4.08	11.55	11.50	3.27
without 6d <sup>a</sup>	+910	3.82	-1.20	3.98	11.55	9.99	3.66
without 5f <sup>a</sup>	+3322	4.12	-1.28	4.10	11.87	12.91	0

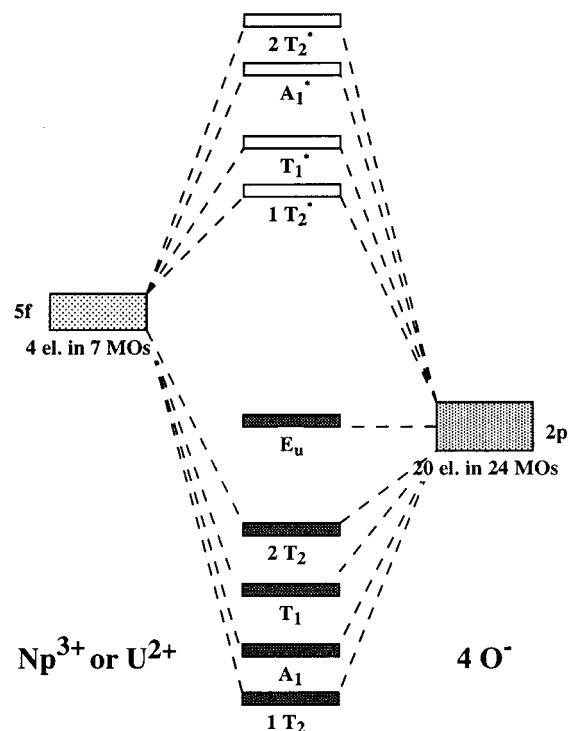
<sup>a</sup> For an explanation of the basis sets, see the text.

longer able to compensate the higher repulsion between the ligands in the square planar structure.

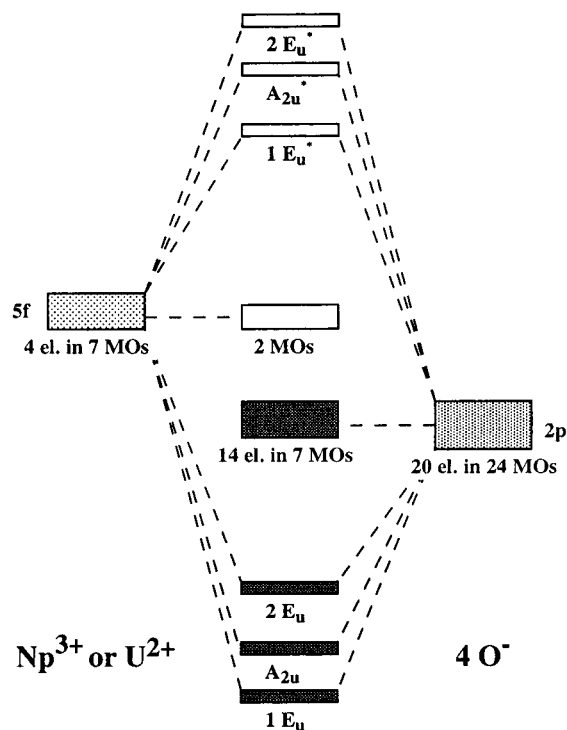
To conclude, this computational experiment shows that the 5f orbitals seem to be more important in bonding than the 6d and are the cause of the square planar structure of the neptunate molecule. Furthermore, it seems that the 5f orbitals form more covalent bonds in neptunate than in uranate. Based on the previous Mulliken population analysis, a realistic starting point for a molecular orbital (MO) diagram seems to be  $\text{Np}^{3+}$  or  $\text{U}^{2+}$  surrounded by four  $\text{O}^-$ . Self-consistent field (SCF) calculations with four electrons evenly distributed among the 5f orbitals indicate that the 5f orbitals of  $\text{Np}^{3+}$  are energetically lower than those of  $\text{U}^{2+}$ , as could be expected. However, SCF calculations on  $\text{O}^-$  with five electrons evenly distributed among the 2p orbitals predict the 2p orbitals to lie *above* the 5f orbitals of either actinide atom. This result seems to contradict the previous observation that the 5f orbitals of neptunate form more covalent bonds than in uranate, because the 5f orbitals of the latter species are the less contracted and seem to lie energetically closer to the 2p orbitals of the oxygens. This apparent paradox can be resolved by an argument along the lines of ligand field theory. When  $\text{Np}^{3+}$  or  $\text{U}^{2+}$  is placed in the field of four negative charges, SCF calculations now indicate that the oxygen 2p orbitals lie *below* the 5f orbitals of either actinide atom, leading to the MO diagrams of Figures 4 and 5. In such a scheme the bonding orbitals of neptunate are more bonding and have greater actinide character than in uranate. And, in fact, in both  $T_d$  and  $D_{4h}$  symmetries, the equilibrium distances are shorter for neptunium and the bonds stronger. Finally, to discriminate between the tetrahedral and the square planar structures, we can use a simple picture; the neptunium accepts more electrons from the oxygens, actually one electron, and the resulting reduced repulsion between the latter favors the square planar structure.

**3.2.2. Excited States, Ionization and Electron Affinity.** Inspection of excited states sheds more light on the electronic structure of  $\text{NpO}_4^-$  and  $\text{UO}_4^{2-}$ . This section will be restricted to a qualitative overview. All the calculations have been done at the restricted open-shell Hartree-Fock (ROHF) level without inclusion of spin-orbit effects, which is a crude approximation for negative, open-shell actinide molecules. But we suppose that the main physics is contained at this level of description, and specifically the different behavior of the uranate and neptunate ions.

The first excited state of the square planar  $\text{NpO}_4^-$  is an excitation from the  $\sigma$  bond of symmetry  $E_u$  to the localized 5f of symmetry  $A_{2u}$ . There is no charge transfer compared with

**Figure 4.** Simplified molecular orbital diagram in the  $T_d$  symmetry limited to the 5f orbitals of the actinide and the 2p orbitals of the oxygens.

the ground state, because the transfer to the 5f orbital is compensated by a back-donation to the oxygens. The 5f-population in this state is 3.47, almost the same as in the ground state. This state is a bonding one, with a slightly larger equilibrium distance than in the ground state. The first ionized state lies well above; it has been obtained within a lower point group to permit a better localization of the electron on one oxygen atom. It consists of the removing of a  $p_{\pi}$  electron of one oxygen, and it is bonding. Finally, the  $\text{NpO}_4^{2-}$  does not exist at the ROHF level, the additional electron is found in the most diffuse f orbital which means that it is only restrained by the basis set from leaving the molecule. Even though the  $\text{UO}_2^{2+}$  and  $\text{NpO}_2^{2+}$  ions have very similar characteristics, the two  $\text{UO}_4^{2-}$  and  $\text{NpO}_4^{2-}$  anions are not similar. In  $\text{NpO}_2^{2+}$ , the additional f electron screens the larger nucleus charge of the neptunium atom, and the molecule becomes equivalent to the uranium one; its 5f-population is then 3.30 electrons (see Table 1). But, in  $\text{NpO}_4^-$ , the Mulliken 5f-population is already 3.27,



**Figure 5.** Simplified molecular orbital diagram in the  $D_{4h}$  symmetry limited to the 5f orbitals of the actinide and the 2p orbitals of the oxygens.

and it seems that the neptunium atom does not accept more than about 3.5 electrons in the 5f orbitals, perhaps because of the large repulsion in such contracted orbitals. It was already the case in the excited state where a back-donation to the oxygens compensates the transition of an electron in a 5f orbital.

For  $\text{UO}_4^{2-}$ , results are quite different. The ionized state, namely the  $\text{UO}_4^-$  anion with a hole on a  $p_\pi$  orbital of one oxygen, lies about 2.5 eV below the ground state of  $\text{UO}_4^{2-}$ , at least in the gas phase; it is no more the case when the molecule is embedded in a solvent with a conductor polarizable continuum model (CPCM)<sup>36</sup> model with parameters for water where it lies about 2.5 eV above the ground state. The excited state with an electron jumping from a bonding orbital to a 5f orbital is, on the contrary, very high in energy; it shows that the 5f orbitals on this atom lie much higher in energy than in the neptunium and it must be the reason the neptunium-molecule is more covalent than the uranium one.

**3.3. Comparison of Correlated Methods.** **3.3.1. Full Geometry Optimization.** Full geometry optimizations without any symmetry have only been possible with HF and DFT methods. With both B3PW91 and B3LYP, the neptunate

remains almost square planar with a lengthening of the bond of 0.05 Å and 0.07 Å, respectively, compared with the HF results and a dihedral angle of 5 and 8°, respectively. Obtained vibrational wavenumbers are with B3LYP (irreps and IR intensities in  $\text{km/mol}$  are indicated in parentheses): 810 ( $a_{1g}$ ), 620 ( $b_{1g}$ ), 355 ( $b_{2g}$ ), 217 ( $a_{2u}$ , 82), 798 ( $e_u$ , 1150), 328 ( $e_u$ , 18).

Things are more complicated for the uranate ion. The optimized geometry depends on the basis set and on the quality of the grid due to the flatness of the potential energy surface between the tetrahedral and the square planar structures; the difference of energies is 7 and 20  $\text{kJ}\cdot\text{mol}^{-1}$  with the B3LYP and the B3PW91 functionals, respectively. With the standard basis set as described in Section 2 and the default grid of Gaussian 98 (option grid = fine), an intermediate structure between the tetrahedral and the square planar ones of symmetry  $C_{2v}$  is obtained. It is no longer the case with a smaller basis set where the most diffuse s, p, d, and f functions (of exponent 0.005) of uranium have been deleted or with the standard basis set with a more accurate grid for the integration (option grid = ultrafine); in the former case, a tetrahedral structure is obtained, whereas in the latter the molecule is almost tetrahedral. Although it was too expensive to perform geometry optimizations with other correlated methods, it was possible to perform a single-point calculation on the  $C_{2v}$  structure obtained with DFT methods, and to compare it with the  $T_d$  energy. With all the investigated methods, the  $T_d$  structure was found to be more stable [by 84.7  $\text{kJ}\cdot\text{mol}^{-1}$  with MP2 and 67.5  $\text{kJ}\cdot\text{mol}^{-1}$  with CCSD(T)]; it confirms that the structure of the uranate ion is tetrahedral at the correlated level as well. In the tetrahedral structure, with the basis set without diffuse functions, vibrational wavenumbers are with B3LYP (irreps and IR intensities in  $\text{km/mol}$  are indicated in parentheses): 765 ( $a_1$ ), 131 ( $e$ ), 607 ( $t_2$ , 2022), 165 ( $t_2$ , 238).

**3.3.2. Methodological Study in the Constrained  $D_{4h}$  and  $T_d$  Point Groups.** The geometries of the two ions have been optimized in the  $D_{4h}$  and  $T_d$  symmetry with the aim of comparing different methods. For all methods, all the electrons outside the RECP have been correlated. Results are summarized in Table 6. Of the methods used in this study, it is widely believed that CCSD(T) is the most accurate method. Comparing CCSD and CCSD(T) results shows that the introduction of the triple excitations plays a substantial role in the determination of the equilibrium distance; it increases the distances by 0.03 Å and 0.02 Å in the tetrahedral and square planar structures, respectively. The  $T_1$  diagnostic<sup>37</sup> is 0.030, 0.031, 0.039, and 0.041 for tetrahedral  $\text{UO}_4^{2-}$ , square planar  $\text{UO}_4^{2-}$ , tetrahedral  $\text{NpO}_4^-$ , and square planar  $\text{NpO}_4^-$ , respectively. These values are all above the 0.02 threshold, which shows that we are at the limit of validity of single-reference procedures; this  $T_1$  diagnostic is

**TABLE 6: Comparison of Methods for the Ions  $\text{NpO}_4^-$  and  $\text{UO}_4^{2-}$  in the Two Constrained Square Planar and Tetrahedral Conformations**

method	$\text{UO}_4^{2-}$			$\text{NpO}_4^-$		
	$Re_{T_d}$ (Å)	$Re_{D_{4h}}$ (Å)	$E_{D_{4h}} - E_{T_d}$ ( $\text{kJ}\cdot\text{mol}^{-1}$ )	$Re_{T_d}$ (Å)	$Re_{D_{4h}}$ (Å)	$E_{D_{4h}} - E_{T_d}$ ( $\text{kJ}\cdot\text{mol}^{-1}$ )
HF	1.94	1.90	85.4	1.85	1.79	-283.7
MP2	2.01	1.99	83.7	2.04 <sup>a</sup>	1.88	
CASSCF	1.95	1.92	38.9	1.98 <sup>a</sup>	1.82	-87.4
CASPT2	2.00	1.97	114.2	1.87	1.89	-319.7
B3PW91	1.95	1.90	5.4	1.89	1.83	-172.0
B3LYP	1.97	1.92	24.7	1.91	1.85	-157.3
CISD	1.95	1.91	89.1	1.86	1.80	-191.7
CPF	2.00	1.98	96.7	1.93	>2.10 <sup>a</sup>	
CCSD	1.96	1.94	90.0	1.89	1.84	-173.8
CCSD(T)	1.99	1.96	91.5	1.92	1.86	-105.0

<sup>a</sup> Nonconstant weight on the reference state.

also much larger for neptunium than for uranium compounds. Nevertheless, CCSD(T) calculations will be considered as the 'exact' solutions and will be used as a reference for the other methods. Comparison with experiment is not direct;  $\text{NpO}_4^-$  with two hydroxide ions shows a distance of 1.89 Å, and the effect of the two hydroxide molecules increases the distance by 0.04 Å at a correlated level with inclusion of solvent effects,<sup>23</sup> which shows that the obtained result of 1.86 Å is compatible with experiment.  $\text{UO}_4^{2-}$  with four short equivalent bonds is only known in crystalline  $\text{Li}_4\text{UO}_5$ <sup>17</sup> with a bond length of 2.00 Å. Again the difference with the 1.96 Å we find in this article can be attributed to the influence of the two oxygens in apical position. The comparison of HF and CCSD(T) results shows that the effect of correlation is 0.05 Å and 0.06 Å in the tetrahedral and square planar  $\text{UO}_4^{2-}$ , respectively, and 0.07 Å in the two conformations of  $\text{NpO}_4^-$ . The effect of correlation increases with the covalency of the bond and the compactness of the structure.

MP2 works well in three of the four cases; it tends to overestimate the equilibrium distances by 0.02 Å and gives a reasonable difference of energy in the  $\text{UO}_4^{2-}$ . In the tetrahedral  $\text{NpO}_4^-$ , the weight on the reference state is the lowest of the four molecules. It drops but then rises again if the distance is increased, giving unreliable values of the equilibrium distance and energy difference. Multireference methods CASSCF and CASPT2 have been used. The CAS comprises 8 electrons in 8 orbitals which correspond to a quadruple set of orbitals of symmetry  $E_u$  in the  $D_{4h}$  group, and a double set of orbitals of symmetry  $A_1$  and  $T_2$  in the  $T_d$  group. These 8 orbitals correspond to the more bonding orbitals of the complex involving f orbitals of the actinide and  $p_\sigma$  and  $p_\pi$  orbitals of the oxygens, and the set of the corresponding antibonding orbitals. This choice allows us to describe the correlation of the bonding orbitals in a variational manner. The weight of the reference determinant in the CASSCF function at the CASPT2 equilibrium geometry is 0.93, 0.90, 0.73, and 0.84 for tetrahedral  $\text{UO}_4^{2-}$ , square planar  $\text{UO}_4^{2-}$ , tetrahedral  $\text{NpO}_4^-$ , and square planar  $\text{NpO}_4^-$ , respectively. This weight is very small for tetrahedral  $\text{NpO}_4^-$  because of a large population in the antibonding  $T_2$  orbital, which increases considerably with distance. This explains why the results for this molecule are poor at the CASSCF, CASPT2, and MP2 levels of theory. Except for the tetrahedral  $\text{NpO}_4^-$ , the CASSCF slightly improves the equilibrium distance, the effect being larger with a larger covalency in the bond. The CASPT2 results (neglecting the tetrahedral  $\text{NpO}_4^-$  again) give equilibrium distances slightly greater than the CCSD(T) ones, the results being of very good quality in the more ionic  $\text{UO}_4^{2-}$  systems. With these methods again, results for the tetrahedral  $\text{NpO}_4^{2-}$  are not reliable; the single-reference picture for the more covalent  $\text{NpO}_4^-$  is less adequate than for the more ionic  $\text{UO}_4^{2-}$ .

Within the DFT framework, the B3LYP functional gives good equilibrium distances that are slightly too small, the largest discrepancy of 0.04 Å being found for tetrahedral  $\text{UO}_4^{2-}$ . The discrepancy with the B3PW91 functional is slightly larger, distances always being 0.02 Å shorter than with B3LYP. The energy difference between the two conformations does not match the CCSD(T) results, the square planar structure being favored in the two cases by about 50  $\text{kJ}\cdot\text{mol}^{-1}$  and 70  $\text{kJ}\cdot\text{mol}^{-1}$  with B3LYP and B3PW91, respectively.

Finally, comparing CISD and CCSD(T) results, we see that the size-extensivity correction on the bond distances is large, about 0.05 Å in every case. CPF (ACPF gave similar results) gives accurate results in three cases, but no minimum was found for the square planar case of  $\text{NpO}_4^-$ ; the weight on the reference

state decreases with the distance. The  $\text{SC2}^{38}$  method, which is similar to the CPF method, gives a good result, namely a distance of 1.87 Å.

To conclude, although the two conformations of the more ionic  $\text{UO}_4^{2-}$  are described well by either MP2, CASPT2, B3LYP (at least using an accurate grid for the integration), or CPF, these methods are less reliable for the more covalent  $\text{NpO}_4^-$ ; this latter molecule is more compact and more covalent, correlation effects are larger, and thus single-reference methods are less adequate.

#### 4. Conclusion

In this article, an extensive study of two iso-electronic molecules containing actinide atoms has been presented. The  $\text{NpO}_4^-$  ion has a square planar structure, whereas the  $\text{UO}_4^{2-}$  ion has a tetrahedral structure. In a given structure, equilibrium distances are shorter in the neptunate than in the uranate, and Mulliken population analysis shows that there is one more f electron in the former reducing the charge on the oxygens, whereas the 6p hole is greater. When one removes 5f orbitals from the basis set,  $\text{NpO}_4^-$  becomes tetrahedral, whereas the structure remains square planar when one removes the 6d orbitals. These observations show that the 5f orbitals are the key ingredient to explain the different molecular structures and the stronger bonds with oxygens formed by neptunium. The study of the excited states, namely a charge transfer from a bonding orbital to a nonbonding 5f orbital, confirms that the 5f orbitals are much lower in energy in neptunium than in uranium, which explains the greater availability of these orbitals to accept electrons from oxygen, although they are more contracted. The study of the excited states shows furthermore that the neptunium atom cannot accept more electrons in the 5f orbitals than in  $\text{NpO}_4^-$  (about 3.5 electrons as indicated by Mulliken analysis with our basis set). To conclude, the bond in the neptunate is more covalent, oxygens are less charged and thereby repel each other less; the square planar form structure is then favored.

The study of correlation shows that there is no perfect method. The  $T_1$  diagnostic of the CCSD calculations shows that these molecules are at the limit of validity of single-reference methods, especially neptunate. MP2 and CASPT2 fail to describe tetrahedral  $\text{NpO}_4^-$ , whereas CPF fails for the description of its square planar isomer. DFT methods with hybrid functionals can lead to the wrong symmetry for the  $\text{UO}_4^{2-}$  ion because of the flatness of the potential energy curve, which is caused by the poor estimation of the differences in energy. Single-reference methods are of doubtful reliability for the description of such strongly correlated bonds. They generally give reasonable results, but there are cases of completely erroneous results. This work shows that B3LYP calculations give reasonable bond distances compared with more sophisticated methods for the description of such compounds, and we have used this method for geometry optimization of more complex molecules.<sup>23</sup>

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